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Attorney Docket No.: 3COM 3148-1

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8  
I hereby certify that this correspondence is being deposited in the United States Postal Service  
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Commissioner of Patents, Washington, DC 20231, on September 20, 2001.

Amy Jonsson  
Amy Jonsson  
Signature Date: September 20, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Guy M. Chemla and Peter Si-Sheng Wang  
Appl. No.: 09/901,403  
Confirm. No.: 6471  
Filed: 09 July 2001  
Title: Distributed Switch Fabric Arbitration

Art Unit: 2661  
Examiner: Unassigned

Customer No. 22470

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner of Patents  
Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance

is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

**PTA Statement under 37 C.F.R. §704(d).** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

***This statement should be considered because:***

X **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114.

— **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
- AND (check at least one of the following) --

\_\_\_\_ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).

-- OR --

(2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;  
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

Application No. 09/901,403

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

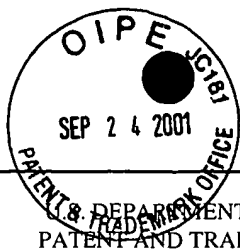
HAYNES BEFFEL & WOLFELD LLP

Date: 19 September 2001

By: 

Mark A. Haynes, Reg. No. 30,846

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Form PTO-1449 (Substitute)  <b>INFORMATION DISCLOSURE STATEMENT          BY APPLICANT</b> (Use several sheets if necessary)	DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number 3COM 3148-1	Serial/Patent Number 09/901,403
	Applicant/Patent Owner Guy M. Chemla et al.			
	Filing/Issue Date 09 July 2001		Group Art Unit 2661	

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U.S. PATENTS							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
		4,516,238	05/07/85	Huang et al.	370	60	03/28/83
		5,319,639	06/07/94	Guha	370	60	04/19/93
		5,197,064	03/23/93	Chao	370	60	11/26/90
		5,179,552	01/12/93	Chao	370	60	01/10/92
		5,502,718	03/26/96	Lane et al.	370	58.2	09/13/94
		5,590,123	12/31/96	Lyles et al.	370	397	05/23/95
		5,883,895	03/16/99	Davis et al.	370	462	11/21/96
		4,623,996	11/18/86	McMillen	370	60	10/18/84
		5,689,644	11/18/97	Chou et al.	395	200.06	03/25/96
		6,098,109	08/01/00	Kotzur et al.	709	249	12/30/96

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)	
	<a href="http://www.cs.umass.edu/~weems/CmpSci635/635lecture9.html">http://www.cs.umass.edu/~weems/CmpSci635/635lecture9.html</a> Lecture 9 Memory Hierarchy and Caching, consisting of 5 pages dated August 18, 2000.
	<a href="http://www.cs.umass.edu/~weems/CmpSci635/635lecture14.html">http://www.cs.umass.edu/~weems/CmpSci635/635lecture14.html</a> Lecture 14: Networks and Multicomputers, consisting of 15 pages dated August 18, 2000.

Examiner	Date Considered
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
*1 = Copy not submitted because it was submitted in prior application SN <u>        </u> , filed <u>        </u> , 20 <u>    </u> , relied on under 35 USC §120.	
*2 = Copy not submitted because it was submitted in prior application SN <u>        </u> , filed <u>        </u> , 20 <u>    </u> , relied on under 35 USC §120.	